08-27-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.		Vo.	04770 00020	
First Ir	nventor	Wahi	roos	· · · · · · · · · · · · · · · · · · ·
Title	Value A	ided Di	igital Video Receiver	C F
Expres	ss Mail Lab	el No.	EL820758445US	

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) **APPLICATION ELEMENTS** Assistant Commissioner for Patents ADDRESS TO: Box Patent Application See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 1. Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) з. 🖂 Specification [Total Pages a. Computer Readable Form (CRF) (preferred arrangement set forth below) b. Specification Sequence Listing on: - Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or - Cross References to Related Applications ii. 🗌 paper Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, c. Statements verifying identity of above copies or a computer program listing appendix

- Background of the Invention ACCOMPANYING APPLICATIONS PARTS - Brief Summary of the Invention 9. 🔲 Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings ( if filed) 10. 🔲 37 C.F.R.§3.73(b) Statement Power of - Detailed Description (when there is an assignee) Claim(s) Attorney - Abstract of the Disclosure English Translation Document (if applicable) 11. 🔲 4. Drawing(s) (35 U.S.C.113) [Total Sheets 7 12. Information Disclosure Copies of IDS 5. Oath or Declaration Statement (IDS)/PTO-1449 Citations [Total Pages | 2 13. 🔲 a. Newly executed (original or copy) Preliminary Amendment 14. 🛛 b. Copy from a prior application (37 CFR 1.63 (d)) Return Receipt Postcard (MPEP 503) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. 🔲 Certified Copy of Priority Document(s) i. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. Request and Certification under 35 U.S.C. 122 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1 63(d)(2) and 1.33(b) or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 17. 🔲 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: □ Continuation ☐ Divisìonal ☐ Continuation-in-part (CIP) of prior application No: \_\_\_ Prior application information: Examiner\_ Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS 22907 Customer Number or Bar Code Label Correspondence address below (Insert Customer No. or Attach bar code label here) Name Address City Zip Code Country Telephone Fax Name (Print/Type) Charles L. Miller Registration No. (Attorney/Agent) 43,805 Signature Date August 24, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

1362

TOTAL AMOUNT OF PAYMENT (\$)

	Complete if Known	
Application Number	n/a	
Filing Date	August 24, 2001	
First Named Inventor	Wahiroos	
Examiner Name	n/a	-
 Group / Art Unit	n/a	
Attorney Docket No.	04770.00020	

		METH	OD OF F	PAYMENT	(che	k one)			T		-	FEE C	ALCULATION (continued)	
1. 🖾		The (	Commiss	sioner is he	ereby	authorize			3. ADI	DITIONAL	FEES		(commund)	
. –		indica	ated tees	s and credi	t any	over payr	nents	to:		Large Entity		Small		
Depos		19-07	733		**				Fee Code	Fee (\$)	Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
Numb									105	130	205	65	Surcharge - late filing fee or oath	
Depos	sit								127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Accou									139	130	139	130	Non-English specification	
Name									147	2,520	147	2,520	For filing a request for reexamination	
	Inder 37	CFR 1.16	6 and 1.						112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
	pplicant See 37 C Paymer	FR 1.27		y status.					113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
	1 dylliol	III EIIOIO	36u.						115	110	215	55	Extension for reply within first month	
	heck	☐ Cre	edit card		/loney Order		Othe	er	116	390	216	195	Extension for reply within second month	
			FEE C	ALCULAT					117	890	217	445	Extension for reply within third month	
	ASIC FIL				-			·	118	1,390	218	695	Extension for reply within fourth month	
	Entity :		Entity						128	1,890	228	945	Extension for reply within fifth month	
		Fee Code	Fee	Fee Desci	riptio	n	_		119	310	219	155	Notice of Appeal	
		201	(\$) 355	I Hillian Gilian	<b>f</b>			ee Paid	120	310	220	155	Filing a brief in support of an appeal	
		201		Utility filing	•		/	10	121	270	221	135	Request for oral hearing	
107	490 2	207	160 245	Design filing	fee				138	1,510	138	1,510	Petition to institute a public use proceeding	
		208	355	Reissue fil	ling fe	e			140	110	240	55	Petition to revive – unavoidable	
114	150 2	214	75	Provisiona	ıl fillin	g fee			141	1,240	241	620	Petition to revive - unintentional	
		SI	JBTOTA	I (1)			70	) 710	142	1,240	242	620	Utility issue fee (or reissue)	
				- (1)			<u>(</u> 4	7710	143	440	243	220	Design issue fee	
2. EXTR	A CLAIN	I FEES							144	600	244	300	Plant issue fee	
				Extra		ee from		Fee	122	130	122	130	Petitions to the Commissioner	
otal Claims	34	2	0 =	Claims 14	] x [	pelow 18	=	Paid 252	123	130	123	130	Petitions related to provisional applications	
ndependent Claims	8		3 =	5	x	80	=	400	126	180	126	180	Submission of Information Disclosure Stmt	
fultiple Dependent					x [		=	0	581	40	581	40	Recording each patent assignment per property (times number of	
Large	•	Small	Entit	у					146	710	246	355	properties)	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee De	scrip	tion							Filing a submission after final rejection (37 CFR § 1.129(a))	
103	18	203	9	Claims	in exc	ess of 20			149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
102	80	202	40	Independent claims in excess of 3										
104	270	204	135	Multiple dependent claim, if not paid 179			179	710	279	355 I	Request for Continued Examination (RCE)			
109	80	209	40	original patent			169	900	169	900	Request for expedited examination of a design application			
110	18	210	9	** Reiss over ori	sue cla ginal	aims in ex patent	cess	of 20 and						
			SI	UBTOTAL	(2)	(\$) 652			Other fe	e (specify	y)			
**or numbe	er previou	ısiv paıd. ı	ıf greater	, For Reissu	18 84	e shove		40,	*Reduc	ed by Ba	sic Filing	Fee Pa	id SUBTOTAL (3) (\$) 0	

SUBMITTED BY	T			Con	nplete (if applicable)
Name (Print/Type)	Charles L. Miller	Registration No Attorney/Agent)	43,805	Telephone	312-715-1000
Signature	Charles	y-1/meto	7	Date	August 24, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/35 (11-00)
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

First N	lamed Inventor	Wahlroos			
Title	Value Added Dig	gital Video Receiver			
Atty D	ocket Number	04770.00020			

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 24, 2001

Date

Signature

Charles L. Miller

Charles 4:1

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).** 

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents,

## CERTIFICATE OF MAILING BY EXPRESS MAIL

(Attorney Docket No 04770.00020)

Express Mail No EL820758445US

Deposited August 24, 2001

I hereby certify that the attached correspondence, identified below, is being deposited with the United States

Postal Service as "Express Mail Post Office to Addressee" under 37 CFR > 1.10 on the date indicated above and is
addressed to the Asst. Commissioner for Patents, Box Patent Application, Washington, DC 20231.

By: Our Various (person actually depositing)

In the Application of:	Wahlroos
------------------------	----------

Title: Value Added Digital Video Receiver

- X Specification, 20 pages including claims and abstract
- X Transmittal letter
- X Fee Transmittal in duplicate
- X Unexecuted declaration
- X Informal Drawings, 7 pages, figures 1-7
- X Request and Certification under 35 U.S.C. 122(b)(2)(B)(i)
- X Return Postcard

Attorney Docket No.: 04770.00020